PTO/SB/21 (04-04)
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| TRANSMITTAL | | | Application Number | 10/619,5 | 10/619,536 | |
|---|----------------------------|--|--|-----------------------------------|--|--|
| TRANSMITTAL FORM | | Filing Date | July 15, 2 | 2003 | | |
| _ | | | First Named Inventor | Walulik | | |
| (to be used for all co | errespondence after initia | nl filing) | Art Unit | 3732 | | |
| | | | Examiner Name | Not yet a | ssigned | |
| Total Number of Pages in This Submission | | | Attorney Docket Number | 5490E-00 | 00285 | |
| | | ENCLOS | SURES (check all that apply |) | | |
| ☐ Draw | | Drawing |)(s) | | Allowance Communication to ology Center (TC) | |
| ☐ Licen | | Licensin | g-related Papers | | l Communication to Board of als and Interferences | |
| Amendment / Rep | bly | Petition | | | Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) | |
| After Final | | Petition to Convert to a Provisional Application | | ☐ Propri | etary Information | |
| Affidavits/dec | laration(s) | | f Attorney, Revocation of Correspondence Address | ☐ Status | Status Letter | |
| Extension of Time | Request | Terminal Disclaimer | | | Other Enclosure(s) (please identify below): | |
| Express Abandonment Request Information Disclosure Statement | | _ | t for Refund nber of CD(s) | Un by CF by 1.4 Oa | quest to Correct Inventorship der 37 CFR 1.48(a); Statement Added Inventor Under 37 FR 1.48(a)(2); Written Consent Assignee Under 37 CFR 48(a)(5); Executed th/Declaration; Return stcard | |
| Certified Copy of I | Priority | Remark | (S | | | |
| Response to Miss Incomplete Applic | | | | | i | |
| Response to Missing Parts under 37 CFR 1.52 or 1.53 | | | | | | |
| | SIGNAT | URE OF A | PPLICANT, ATTORNEY, | OR AGENT | | |
| Firm or Individual name Harness, Dickey & Pierce, P.L.C | | Attorney Name Stephen T. Olson/ Maria Comninou | Reg. No. 36,626/44,626 | | | |
| Signature | Signature M | | | | | |
| Date | January <u>12</u> , 2005 | | | | | |
| | CE | RTIFICATI | E OF TRANSMISSION/MA | ILING | | |
| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. | | | | | | |
| Typed or printed name | Stephen T. Ols | on/Maria Cor | mninou | Express Mail Label No. | EV 570 163 171 US | |
| Signature | | | | Date | January 12, 2005 | |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office,

01-13-05



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.:

10/619,536

Filing Date:

July 15, 2003

Applicant:

Walulik et al.

Group Art Unit:

3732

Examiner:

Not yet assigned

Title:

METHOD AND APPARATUS FOR THE EXTERNAL

FIXATION AND CORRECTION OF BONE

Attorney Docket:

5490E-000285

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

REQUEST TO CORRECT INVENTORSHIP UNDER 37 CFR §1.48(a)

Applicants hereby request correction of inventorship under 37 CFR §1.48(a) for the above-referenced application to correct an error that occurred inadvertently and without deceptive intent, and was recently discovered.

It is requested that the inventorship is changed to add the following inventor:

Name:

Richard Davidson

Residence: 37 Evans Lane

Haveford PA 19041

Citizenship: U.S.A

No inventors are deleted.

01/18/2005 SSITHIB1 00000044 10619536

The following documents are enclosed herewith:

1. A statement from the added inventor that the error in inventorship

occurred without deceptive intent.

2. The written consent of the Assignee EBI, L.P. to the correction in

inventorship.

3. A new declaration by all the inventors under §1.63.

4. A check in the amount of \$130 for the processing fee set forth in §1.17(i)

Prompt and favorable consideration of this request is respectfully requested. If the Examiner has any questions or concerns or believes that personal communication will expedite prosecution of this application, the Examiner is invited to telephone the undersigned at (248) 641-1600.

Respectfully submitted,

Dated: Jan. 12, 2005

Bv:

Stephen T. Olson

Reg. No. 36,626

Maria Comninou

Reg. No. 44,626

Attorneys for Applicants

HARNESS, DICKEY & PIERCE, P.L.C. P.O. Box 828 Bloomfield Hills, Michigan 48303 (248) 641-1600

STO/MAC/mb





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.:

10/619,536

Filing Date:

7/15/2003

Applicant:

Walulik et al.

Group Art Unit:

3732

Examiner:

not assigned

Title:

METHOD AND APPARATUS FOR THE EXTERNAL

FIXATION AND CORRECTION OF BONE

Attorney Docket:

5490E-000285

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

STATEMENT BY ADDED INVENTOR UNDER 37 CFR §1.48(a)(2)

I, Richard Davidson, state that through error I was not named as an inventor in the above-referenced patent application, and that the error in inventorship occurred without deceptive intent on my part.

Respectfully submitted,

Dated: /0//8/64

Richard Davidson

37 Evans Lane Haveford PA 19041



MITHE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.:

10/619,536

Filing Date:

7/15/2003

Applicant:

Walulik et al.

Group Art Unit:

3732

Examiner:

not assigned

Title:

METHOD AND APPARATUS FOR THE EXTERNAL

FIXATION AND CORRECTION OF BONE

Attorney Docket:

5490E-000285

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

WRITTEN CONSENT BY ASSIGNEE UNDER 37 CFR §1.48(a)(5)

The Assignee, EBI, L.P., of the above-referenced patent application, hereby consents in writing to the correction of inventorship to add Richard Davidson as an inventor under §1.48(a)(5).

Respectfully submitted,

Dated: JANUARY 3, 2005

Name:/ Dan L. Page

Title: Vice President - Research

EBI, L.P.

By: _

100 Interpace Parkway

Parsippany, New Jersey 07054

JAN 12 MES ES

DECLARATION AND POWER OF ATTORNEY

Atty. Dkt. No.: 5490E-000285

DECLARATION

I hereby declare that:

Each inventor's residence, mailing address and citizenship are as stated below next to their name,

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

METHOD AND APPARATUS FOR THE EXTERNAL FIXATION AND CORRECTION OF BONE

| the speci | fication o | f which (check one) |
|-----------|------------|--|
| | | is attached hereto. or was filed on 07/15/2003 as United States Application Number or PCT International Application No. 10/619,536 and was amended on (if applicable). |
| | | |

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. §§ 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate, or any PCT international application having a filing date before that of the application on which priority is claimed:

| | PRIOR FOREIGN APP | PLICATION(S) | ** = | |
|------------------|-------------------|----------------------------|-----------------|---------------|
| APPN. SERIAL NO. | COUNTRY | DATE FILED (MM/DD/YYYY) | PRIORIT* Yes | Y CLAIM No |
| | | | | |
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| | | | | |

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY

I hereby appoint David L. Ahlersmeyer, Reg. No. 31, 938 and Eric Waldkoetter, Reg. No. 36,713 of EBI, L.P., Stephen J. Foss, Reg. No. 31,251; Stephen T. Olson, Reg. No. 36,626; and Maria Comninou, Reg. No. 44,626 of Harness, Dickey & Pierce, P.L.C., my attorney with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

CORRESPONDENCE ADDRESS

I request the Patent and Trademark Office to direct all correspondence and telephone calls relative to this application to Customer No. 27572, Harness, Dickey & Pierce, P.L.C., P. O. Box 828, Bloomfield Hills, Michigan 48303 (248) 641-1600.

| Full name of sole or first inventor! Stephen B. Walulik |
|--|
| Inventor's signature: |
| Date: |
| Residence: 24 Ethan Place, Phillipsburg, New Jersey 08865 |
| Citizenship: United States of America |
| Mailing Address: Same as above |
| Full name of second joint inventor: Kirk J. Bailey Inventor's signature: |
| Date: 1/3/08 |
| Residence: 33 Wards Road, Blairstown, New Jersey 07825 |
| Citizenship: United States of America |
| Mailing Address: Same as above |
| |
| Full name of third joint inventor: Takkwong Ross Leung Inventor's signature: 1/3/05 |
| Inventor's signature: |
| Inventor's signature: Date: |
| Inventor's signature: |
| Inventor's signature: Date: |
| Inventor's signature: Date: |
| Inventor's signature: Date: 1/3/05 Residence: 20 Suttie Avenue, Piscataway, New Jersey 08854 Citizenship: Hong Kong Mailing Address: Same as above |
| Inventor's signature: Date: Date: Residence: 20 Suttie Avenue, Piscataway, New Jersey 08854 Citizenship: Hong Kong Mailing Address: Same as above Full name of fourth joint inventor: Richard Davidson |
| Inventor's signature: Date: Nesidence: 20 Suttie Avenue, Piscataway, New Jersey 08854 Citizenship: Hong Kong Mailing Address: Same as above Full name of fourth joint inventor: Richard Davidson Inventor's signature: |
| Inventor's signature: Date: Date: Residence: 20 Suttie Avenue, Piscataway, New Jersey 08854 Citizenship: Hong Kong Mailing Address: Same as above Full name of fourth joint inventor: Richard Davidson Inventor's signature: Date: |

DECLARATION

Atty. Dkt. No.: 5490E-000285

I hereby declare that:

Each inventor's residence, mailing address and citizenship are as stated below next to their name,

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

METHOD AND APPARATUS FOR THE EXTERNAL FIXATION AND CORRECTION OF BONE

| the specification of | which (check one) |
|----------------------|--|
| | is attached hereto. or was filed on 07/15/2003 as United States Application Number or PCT International Application No. 10/619,536 and was amended on (if applicable). |

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. §§ 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate, or any PCT international application having a filing date before that of the application on which priority is claimed:

| | PRIOR FOREIGN AP | PLICATION(S) | | |
|------------------|------------------|----------------------------|----------------|---------------|
| APPN. SERIAL NO. | COUNTRY | DATE FILED (MM/DD/YYYY) | PRIORIT Yes | Y CLAIM No |
| | | | | |
| | | | | |
| | | | | |

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY

I hereby appoint David L. Ahlersmeyer, Reg. No. 31, 938 and Eric Waldkoetter, Reg. No. 36,713 of EBI, L.P., Stephen J. Foss, Reg. No. 31,251; Stephen T. Olson, Reg. No. 36,626; and Maria Comninou, Reg. No. 44,626 of Harness, Dickey & Pierce, P.L.C., my attorney with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

CORRESPONDENCE ADDRESS

I request the Patent and Trademark Office to direct all correspondence and telephone calls relative to this application to Customer No. 27572, Harness, Dickey & Pierce, P.L.C., P. O. Box 828, Bloomfield Hills, Michigan 48303 (248) 641-1600.

| Full name of sole or first inventor: Stephen B. Walulik |
|---|
| Inventor's signature: |
| Date: |
| Residence: 24 Ethan Place, Phillipsburg, New Jersey 08865 |
| Citizenship: United States of America |
| Mailing Address: Same as above |
| Full name of second joint inventor: Kirk J. Bailey |
| Inventor's signature: |
| Date: |
| Residence: 33 Wards Road, Blairstown, New Jersey 07825 |
| Citizenship: United States of America |
| Mailing Address: Same as above |
| Full name of third joint inventor: Takkwong Ross Leung |
| Inventor's signature: |
| Date: |
| Residence: 20 Suttie Avenue, Piscataway, New Jersey 08854 |
| Citizenship: Hong Kong |
| Mailing Address: Same as above |
| Full name of fourth joint inventor: Richard Davidson |
| Inventor's signature: Kuhas A a Sun M |
| Date: 10/25/04 |
| Residence: 37 Evans Lane, Haveford, Pennsylvania 19041 |
| Citizenship: United States of America |

Mailing Address: Same as above

PTO/SB/17 (12-04v2)

Approved for use through 07/31/2006. OMB 0651-0032 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

ne Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| | rive on 12/0 lated Appro | 8/2004. priations Act, 2005 (H.R. 4818 | 3) | Complete it | Known | |
|--|--|---|--|------------------------------|---|--|
| TARRE | | | Application Number | 10/619,536 | | |
| FEE TR | ANS | SMITTAL | Filing Date | July 15, 2003 | | |
| for | FY 2 | 2005 | First Named Inventor | Walulik | | |
| Applicant claims sma | ll entity s | tatus. See 37 CFR 1.27 | Examiner Name | Not yet assigned | | |
| | | | Art Unit | 3732 | | |
| TOTAL AMOUNT OF PA | YMENT | (\$) 130 | Attorney Docket No. | 5490E-000285 | | 7 |
| METHOD OF PAYMEN | T (check | all that apply) | | | | |
| Check Credit Ca | rd 🔲 N | Ioney Order None | Other (please identif | y) : | | |
| Deposit Account Dep | oosit Acco | unt Number: 08-0750 | Deposit Acc | ount Name: Harnes | s, Dickey & P | ierce, PLC |
| 1 · | | eposit account, the Directo | ' | | | |
| ☐ Charge fe | e(s) indica | ated below | ☐ Cha | rge fee(s) indicated | below, excep | t for the filing fee |
| | | nal fee(s) or underpaymen | | dit any overpayment | • | |
| Under 37 | CFR 1.16 | and 1.17 | ·· — | | | |
| WARNING: Information on th information and authorization | is form ma n on PTO- | ay become public. Credit ca 2038. | rd information should not b | pe included on this fo | rm. Provide cre | edit card |
| FEE CALCULATION | | | | | | |
| 1. BASIC FILING, SEA | ARCH. A | ND EXAMINATION FE | FS | | | |
| | | | SEARCH FEES | EXAMINAT | TION FEES | i |
| i e | | Small Entity | O | h. C. | nall Entity | |
| l | | | Small Entit | | | |
| Application Type | Fee (\$ |) <u>Fee(\$)</u> | Fee(\$) Fee(\$) | Fee(\$) | Fee(\$) | Fees Paid (\$) |
| Utility | 300 |) <u>Fee(\$)</u> 150 | Fee(\$) Fee(\$) 500 250 | Fee(\$) 200 | Fee(\$) 100 | Fees Paid (\$) |
| Utility Design | 300 200 | 150 100 | Fee(\$) Fee(\$) 500 250 100 50 | Fee(\$) 200 130 | Fee(\$) 100 65 | <u>Fees Paid (\$)</u> |
| Utility Design Plant | 300 200 200 | Fee(\$) 150 100 100 | Fee(\$) Fee(\$) 500 250 100 50 300 150 | Fee(\$) 200 130 160 | Fee(\$) 100 65 80 | <u>Fees Paid (\$)</u> |
| Utility Design Plant Reissue | 300 200 200 300 | Fee(\$) 150 100 100 150 | Fee(\$) Fee(\$) 500 250 100 50 300 150 500 250 | Fee(\$) 200 130 160 600 | Fee(\$) 100 65 80 300 | Fees Paid (\$) |
| Utility Design Plant Reissue Provisional | 300 200 200 300 200 | Fee(\$) 150 100 100 | Fee(\$) Fee(\$) 500 250 100 50 300 150 | Fee(\$) 200 130 160 | Fee(\$) 100 65 80 300 0 | |
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| Utility Design Plant Reissue Provisional 2. EXCESS CLAIM FE Fee Description Each claim over 20 (inc Each independent clain Multiple dependent clain Total Claims -20 or HP: HP = highest number of | 300 200 200 300 200 200 EES cluding R n over 3 (ims Extra = 0 | Fee(\$) 150 100 100 150 100 1 | Fee(\$) Fee(\$) 500 250 100 50 300 150 500 250 0 0 | Fee(\$) 200 130 160 600 | Fee(\$) 100 65 80 300 0 Fee (\$) 50 200 360 Multiple | Small Entity Fee (\$) 25 100 180 Dependent Claims |
| Utility Design Plant Reissue Provisional 2. EXCESS CLAIM FE Fee Description Each claim over 20 (inc Each independent claim Multiple dependent claim Total Claims -20 or HPs HP = highest number of indep. Claims | 300 200 200 300 200 ES cluding R n over 3 (ims Extra | Fee(\$) | Fee(\$) Fee(\$) 500 250 100 50 300 150 500 250 0 0 | Fee(\$) 200 130 160 600 | Fee(\$) 100 65 80 300 0 Fee (\$) 50 200 360 Multiple | Small Entity Fee (\$) 25 100 180 Dependent Claims |
| Utility Design Plant Reissue Provisional 2. EXCESS CLAIM FE Fee Description Each claim over 20 (inc Each independent claim Multiple dependent claim Total Claims -20 or HP: HP = highest number of Indep. Claims -3 or HP= | 300 200 200 300 200 EES cluding R n over 3 (ims Extra = 0 total claims Extra = 0 | Fee(\$) 150 100 100 150 100 1 | Fee(\$) Fee(\$) 500 250 100 50 300 150 500 250 0 0 Fee Paid (\$) = 0 Fee Paid (\$) = 0 | Fee(\$) 200 130 160 600 | Fee(\$) 100 65 80 300 0 Fee (\$) 50 200 360 Multiple | Small Entity Fee (\$) 25 100 180 Dependent Claims |

3. APPLICATION SIZE FEE

Signature

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$) = 0 (round up to a whole number) x 0 0 4. OTHER FEE(S) Fees Paid (\$)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Request to Correct Inventorship Under 37 CFR 1.48(a) <u>130</u> SUBMITTED BY Registration No.

(Attorney/Agent)

36,626/44,626

Telephone

248-641-1600

Name (Print/Type) Stephen T. Olson/Maria Comninou January 12 This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.